Assessing Language, Literacy, and Mathematics Skills With Work Sampling for Head Start

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Research Findings: We examined the reliability and validity of the language, literacy, and mathematics domains of Work Sampling for Head Start (WSHS), an observational assessment designed for 3- and 4-year-olds. Participants included 112 children who were enrolled over a two-year period in Head Start and a number of other programs sponsored by community-based organizations affiliated with a local school district. Teachers were trained to administer the WSHS checklist and to collect observational data about their children over the course of the children’s year of enrollment. Outcome data were individually administered tests of early reading and early mathematics. Cronbach’s alphas, correlations, regressions, and receiver operating characteristic curves were computed. Results indicated very high reliability of WSHS subscales. Findings also demonstrated moderate correlations between WSHS and the outcomes and unique contributions to the assessments of reading and mathematics by WSHS over and above demographic variables. The receiver operating characteristic curves showed that WSHS can be used accurately by teachers to predict children’s early mathematics and reading performance. Practice and Policy: Discussion includes the role of observational versus norm-referenced tests in early childhood.
classrooms. Also discussed are such issues as variance in methods of assessment and the impact of high-stakes tests on young children.

High-stakes testing—using student test scores to determine rewards or sanctions for children, teachers, administrators, and schools—has virtually overwhelmed educational practice in the first decade of the 21st century. As a result of the No Child Left Behind Act of 2002, every child in Grades 3 through 8 is tested in reading and mathematics. Scores on these norm-referenced tests are used to decide whether students will be promoted or retained, whether teachers and administrators will receive congratulations or condemnation, and whether schools will be considered successful or not.

The No Child Left Behind Act not only increased the stakes associated with testing in Grades 3 through 8, it brought increased attention to the issue of accountability beginning as early as preschool. The most dramatic example of high-stakes testing with young children was the National Reporting System (NRS; Administration for Children and Families, 2003), a test so flawed that it was suspended by Congress in 2007. It consisted of 40 to 50 items in language, literacy, and mathematics administered twice yearly to all English- and Spanish-speaking 4- and 5-year-olds in Head Start. Although the NRS was never used for high-stakes purposes, its potential role in program closure was widely publicized (Administration for Children and Families, 2003). Between Fall 2003 and Spring 2007 the NRS was administered more than 3.5 million times, despite questions raised about its psychometric properties by the General Accountability Office (2005) and others (Meisels & Atkins-Burnett, 2004). Several states (viz., Florida and Texas) have also adopted testing regimes that have high-stakes implications for preschools.

Alternatives to high-stakes tests in preschool are available, and have been used with young children for some time. Distinctively different in purpose and application from tests associated with the NRS and the No Child Left Behind Act, these "low-stakes" tests focus on data that facilitate instructional decision making and rely on observational methods for collection of information about children's performance. This article presents psychometric data concerning one such instrument.

The three most widely used early childhood observational assessments are the Child Observation Record (COR; High/Scope Educational Research Foundation, 1992), the Developmental Continuum (Teaching Strategies, 2002), and the Work Sampling System (WSS; Meisels, Jablon, Dichtelmiller, Marsden, & Dorfman, 2001). Validity evidence for these three observational instruments is uneven, with no published data available for the Developmental Continuum as contrasted with fairly extensive information published about the COR (Fantuzzo, Hightower, Grin, & Montes, 2002; Schweinhart, McNair, Barnes, & Larner, 1993; Sekino & Fantuzzo, 2005) and WSS. This article focuses on an adaptation of WSS for Head Start.
Psychometric data concerning WSS are available from a number of studies. In research conducted in 17 Title I classrooms (N = 345 students, K–3) in a large urban school district, WSS ratings were compared with student scores on a nationally normed, individually administered psychoeducational battery in order to examine construct and predictive aspects of validity (see Meisels, Bickel, Nicholson, Xue, & Atkins-Burnett, 2001; Meisels, Xue, Bickel, Nicholson, & Atkins-Burnett, 2001). Correlations between WSS checklist ratings in literacy and mathematical thinking and standardized test scores were moderate to high. Four-step hierarchical regressions showed that WSS ratings were a stronger predictor of test scores than were demographic variables. Other analyses demonstrated that WSS discriminated between children who were at risk and those not at risk.

Another study, though not one dealing with validity per se (Meisels, Atkins-Burnett, et al., 2003), examined the trajectory of change in scores of WSS and non-WSS third and fourth graders on the Iowa Tests of Basic Skills (ITBS). The scores on the ITBS in third and fourth grades of WSS children were compared with those of students in a group of non-WSS contrast schools matched on demographic variables. A second comparison group consisted of all other students in the school district. Results indicated that students who were in WSS classrooms displayed growth in reading from one year to the next that far exceeded the demographically matched contrast group (25:1) as well as the average change shown by all other students in the district (8:1). The pattern of change was similar between mathematics and reading. Other studies of the reliability and validity of WSS with kindergarteners are also available (Meisels, Liaw, Dorfman, & Nelson, 1995).

However, no psychometric research about WSS with children younger than age 5 exists. This is due primarily to the limitations of criterion measures used in validity studies with young children. LaParo and Pianta (2000), in a meta-analysis of more than 70 empirical studies designed to predict achievement in first or second grade from cognitive tests administered in preschool or kindergarten, found that less than 25% of the variance in the outcomes could be accounted for by test-based predictors, even when differences in assessment method were minimized. Others have also noted problems of validity with early childhood measures of achievement (Kim & Suen, 2003; Meisels, 2007; Neisworth & Bagno, 2004), although this remains an area of significant controversy (Meisels, 2007).

The present study represents the first research using WSS with preschoolers. We made use of a modified version of the WSS checklist that was developed for use in Head Start in order to enhance the alignment between WSS and the Head Start Outcomes Framework (U.S. Department of Health and Human Services, 2001). Other than minor changes in format and organization, relatively few differences in content exist between Work Sampling for Head Start (WSHS; Dichtelmiller, Jablon, Meisels, & Marsden, 2001) and the standard WSS checklist for 4-year-olds.

WSHS is used extensively with 3- and 4-year-olds in Head Start, and versions of WSHS or WSS are the mandated or preferred preschool and/or kindergarten as-
assessments in a number of states (e.g., South Carolina, Georgia, Minnesota, Maryland, Arkansas, Colorado, Illinois, Pennsylvania). Because of this wide-scale use, and specifically because of the accountability culture in which all schools in the United States function today, it is important to understand the relationship of WSHS to normative measures of achievement. To accomplish this, we collaborated with a large urban school district that uses WSHS to collect data on a sample of 3- and 4-year-olds.

In this analysis, we investigate the reliability and validity of three domains of WSHS using data collected from children in the fall and spring of 2004–2005 and 2005–2006. The focus of this study is the relationship of WSHS to psychoeducational assessments of children’s achievement in language development, early literacy, and mathematics. Its purpose is to establish the reliability and validity of this observational, performance-based assessment in relation to normative measures of achievement.

METHODS

Participants

This analysis presents data from 112 children enrolled in the St. Paul Public Schools (SPPS) CHOICE program, an Early Reading First (ERF) federally funded project. CHOICE was coordinated by SPPS and functioned as a community partnership between SPPS's School Readiness and Community Kindergarten program, the Ramsey County Head Start program, and a YMCA child care center. All programs were selected according to their relative proximity within the SPPS boundaries, their agreement to participate in and fulfill the requirements of the ERF grant (e.g., using a literacy curriculum, adopting specific instructional strategies, administering several assessments including WSHS, and participating in a comprehensive professional development plan), as well as the specifications of this study.

Participants were enrolled in three School Readiness classrooms, two of which were specifically designated “inclusion classrooms” that enrolled high proportions of children with special needs; 12 Head Start classrooms in a single center; and one community-based classroom operated by the YMCA. Due to design exclusions, most of the children in the study were enrolled in Head Start, but the study was conducted under the auspices of the public schools rather than Head Start.

Children in the sample were required to have parental permission and to meet two selection criteria: (a) >3.6 years of age and (b) able to communicate test responses in English and speak English in the classroom. Children with special needs whose IEPs indicated that they were in the mild to moderate range (most had
speech or physical impairments) were included in the study. Children who had moderate to severe special needs were not eligible for the study. Using these criteria, our original sample included 71 children in 2004–2005 and 69 children in 2005–2006. Of these, 12 children in 2004–2005 and 16 children in 2005–2006 were excluded from the analyses due to incomplete data. Thus, our final sample included 59 participants in 2004–2005 (53% were enrolled in Head Start) and 53 in 2005–2006 (60% were enrolled in Head Start). Children from these two years were combined for the study. Missing data analyses comparing the 28 children who were excluded with the final sample revealed that the missing group did not differ from the final sample with respect to sex, race/ethnicity, and special education. However, the missing group was slightly younger than the final sample, t(138) = −3.22, p < .01.

The age range of the children who remained in the study was 3.77 years to 4.98 years, with a mean age of 53.84 months (SD = 3.97; two children were enrolled for a second year in the program, and there was no upper age limit). The sample consisted of slightly more boys (54.5%) than girls. The breakdown of the sample by race/ethnicity was primarily minority (80.4%), with 62.5% Black, 8.9% Hispanic, and 8.9% other. Most of the children received free or reduced lunch (94.6%). Children with special needs constituted 11.6% of the sample. Sixteen teachers were involved in the study, seven of whom participated both years. Half of the teachers in the SPPS School Readiness program had master’s degrees; the others had BAs. The one teacher in the YMCA program had a BS degree. However, in the Head Start programs, only one fourth of the teachers had BAs (none had master’s degrees). The others had early childhood AA degrees or CDA certificates.

Measures

Work Sampling for Head Start. WSHS is a curriculum-embedded, criterion-referenced performance assessment that is intended to document what children are learning and have begun to master by providing specific information about their academic, personal and social, and other accomplishments. This analysis used data from the language development, literacy, and mathematics domains of the WSHS checklist (see the Appendix for a list of components and performance indicators for the domains). Two reasons explain why only these three domains were studied. First, as with other ERF projects nationwide, several specific language and literacy assessments were already required by that program. Additional testing to examine all WSHS domains was viewed by both federal and SPPS administrators as a potential overextension for the children, families, and programs. Because of this, we limited our focus to language, literacy, and mathematics. Second, even if it had been possible to administer additional assessments, the outcome measures available for the domains not studied (social and emotional, approaches to learning, science, creative arts, and physical health and development)
are either very limited or unreliable in the early childhood years. To reduce measurement error, they were not included here.

The WSHS checklist consists of 55 items that measure eight domains of development: social and emotional development, approaches to learning, language development, literacy, mathematics, science, creative arts, and physical health and development. Teachers in the study completed all domains with the exception of science and creative arts.

As shown in the Appendix, every skill, behavior, or accomplishment included on the checklist is presented in the form of a one-sentence performance indicator (e.g., "Follows directions that involve a series of actions") and is designed to help teachers document each child's performance. Accompanying every performance indicator are detailed developmental guidelines. These content standards present the rationale for each performance indicator and outline reasonable expectations for children of that age. Examples show several ways in which children might demonstrate the skill or accomplishment represented by the indicator. The guidelines promote consistency of interpretation and evaluation across teachers, children, and schools.

Teachers rate children's performance on each item of the WSHS checklist three times per year (fall, winter, and spring) using an online record-keeping system. The rating scale includes three mastery levels: 1 (not yet), 2 (in process), and 3 (proficient). This report uses ratings in language development, literacy, and mathematics in the fall and spring. For purposes of analysis, language development and literacy were combined into one subscale. Subscale scores for language and literacy and for mathematics were generated by computing the mean score for all items within the domain of language and literacy or mathematics.

Psycological assessments. The Test of Early Reading Ability–Third Edition (TERA-3; Reid, Hresko, & Hammill, 2002) and the Test of Early Mathematics Ability–Third Edition (TEMA-3; Ginsburg & Baroody, 2003) were administered in the fall and spring. The TERA-3 is an individually administered assessment of young children's reading achievement that was normed on a nationally representative sample of 875 children chosen in a random stratified sample procedure. It includes subtests in alphabet, conventions, and meaning and was designed for use with children aged 3 years, 6 months, to 8 years, 6 months. It was administered to the children in this study in the fall and spring. The standard score for the composite of the three subtests has a mean of 100 and a standard deviation of 15 with the normative sample.

The TERA-3 was administered by two highly trained SPPS literacy coaches. In the second year a third master's-level coach joined them. To prepare for the TERA-3 administration, the coaches studied the test materials, familiarized themselves with the assessment, and practiced administering the assessment to children not in CHOICE before giving the assessment to the students in the study.
The TEMA-3 is an individually administered test of early mathematical achievement that is appropriate for children aged 3 years through 8 years, 11 months. Available in two parallel forms, the test focuses on concepts of relative magnitude as well as knowledge of counting, calculation, conventions, and number facts. The TEMA-3 was normed on a nationally representative sample of 1,228 children, of whom 673 took Form A and 591 took Form B. The standard scores for Forms A and B have a mean of 100 and a standard deviation of 15 with the normative sample. In this study, Form A was administered to the children in the fall and Form B was administered in the spring. In both years the TEMA-3 was administered by someone who was trained in the test administration by an SPSS school psychologist and supervised by someone familiar with the assessment.

Training and Fidelity

Training. Training was designed to help teachers learn how to use the WSHS Developmental Guidelines and Checklists (Dichtelmiller et al., 2001) for observing, documenting, and evaluating children's learning. The model implemented throughout the study included large- and small-group training and one-to-one consultation. Different training modalities were used to meet the needs of individual teachers as well as to accommodate the logistics of scheduling across three different agencies.

Prior to the onset of the study the teachers attended a one-day introduction to WSHS in which they were introduced to authentic performance-based assessment; the goals of the research study; as well as the basics of observing, documenting, and recording children's skills, behaviors, learning, and achievements over time. After the initial training, teachers participated in 1 to 2 hours of WSHS follow-up training every month throughout the duration of the study period (excluding the summer months) and additional training when the checklists were being completed. The follow-up training took place in either large-group, small-group, or one-to-one settings and included an additional session focused solely on the Work Sampling online system that was used for data collection. All participating teachers were expected to attend the full complement of training.

Fidelity of implementation. The accuracy of the checklist procedures was monitored throughout the study by the WSHS trainer. The participating teachers' use of their collected observations as well as the WSHS guidelines and checklists were observed and monitored regularly. The participating teachers' written classroom observations were also reviewed periodically by both the WSHS trainer and the teachers' respective program supervisors.

Reliability of the checklist completion procedures was a major focus of the follow-up training. The training involved the review of simulated observation notes, work samples, and other collected documentation. The teachers were organized
into small groups and asked to examine the documentation and determine which checklist rating best reflected the child’s performance at various points throughout the year.

Once the small-group work was complete, all teachers participated in a trainer-led discussion about the documentation and the checklist ratings. Each small group was asked to report their rating selections for the checklist indicators. The task continued until a unanimous rating selection had been made for each indicator. This training was repeated four times throughout the study period.

Analytic Approach

Four types of analyses were conducted using teachers’ WSHS checklist ratings of children’s achievement and children’s test scores in the fall and spring: (a) reliability of each domain of the checklist, (b) correlations between children’s test scores and the WSHS checklist ratings within the corresponding domain, (c) three-step hierarchical regressions that examined factors accounting for children’s test scores in the spring, and (d) receiver operating characteristic (ROC) curves that determined whether a child who performed at average or below-average level on the test scores was identified correctly based on the WSHS ratings. These methods were selected because they specifically enabled us to answer our research questions about the reliability and validity of WSHS.

The reliability of the WSHS checklist ratings was examined by calculating Cronbach’s alphas for each domain in the fall and spring and estimating correlations between fall and spring within each domain. These statistics estimate the internal consistency, or the extent to which individual items within a specific subscale are correlated with the other items in that subscale. A Cronbach’s alpha value of at least .70 is considered sufficient, but .80 to .90 is desirable (Nunnally & Bernstein, 1994). Correlations between fall and spring WSHS checklist ratings within each domain were obtained as well. The correlations indicate the reliability of WSHS ratings over time.

Traditional interrater reliability data were not collected. Doing so would have violated the principle of independence required for establishing reliability between an observer and a “tester” (in this case the teacher). If two teachers were assigned to the same classroom, neither would be “blind” to the other’s decision making unless they did not confer about their pupils—an untenable situation. Moreover, if an external observer conducted reliability checks, the teacher and observer would have very different information from each other because the ratings would reflect children’s performance over time—not just on three specific occasions.

Using the TERA-3 and TEMA-3 as outcomes, we examined concurrent validity and predictive validity of the WSHS checklist. Correlations between WSHS rat-
ings and test scores in the fall or spring indicate the shared variance between the two assessments and provide evidence of concurrent validity. Correlations of .70 to .75 are optimal because they show a substantial overlap between the two assessments as well as the uniqueness of each assessment. High correlations (>.80) suggest that the predictor does not add enough new information to justify its use, whereas low correlations (<.30) suggest very little overlap between the two assessments. Concurrent validity was also examined through regression analyses relating spring WSHS to spring test scores.

The predictive validity of the WSHS checklist was examined using correlations between fall WSHS and spring test scores, hierarchical regression analyses, and ROC curve analyses. We performed three-step regression analyses to examine if the WSHS checklist made a unique contribution to children's spring TERA-3 and TEMA-3 scores above and beyond demographic variables and before and after children's initial scores in the fall were controlled. Step 1 included demographic variables: age, sex (1 = boy, 0 = girl), race/ethnicity (two dummy indicators, with White as the reference group), and free/reduced lunch (1 = yes, 0 = no). Step 2 added the WSHS checklist to the model; R-square change from Step 1 to Step 2 demonstrates the contributions of WSHS in predicting test scores after controlling for demographics. Step 3 added test scores in the fall; this step indicates whether WSHS ratings make additional contributions in predicting spring test scores after adjustments were made for children's prior test scores. Separate models were run to determine whether the fall and spring checklists made different contributions. In addition, we included an indicator for the two cohorts of children in the regression analysis to examine whether there were any differences in relationships for the two cohorts.

ROC curve analysis, also called \textit{cost-matrix analysis}, is a component of logistic regression. It is an effective method for evaluating two psychometric instruments that have a predictor–outcome relationship (Meisels, Henderson, Liaw, Browning, \& TenHave, 1993). We used this method to examine whether two different assessments assign children to the same or different categories. An ROC figure provides a visual representation of a predictor's accuracy, whereas the area under the ROC curve gives a quantitative measure of its accuracy (Hanley \& McNeil, 1982; Zhou, Obuchowski, \& McClish, 2002). Area under the ROC curve that is >.80 is considered excellent. An optimal cutoff point is defined statistically as the point at which the proportion of at-risk children who are correctly identified and the proportion of low-risk children who are correctly excluded from at-risk categories are maximized. We first established a cutoff for the test score (1 SD below the mean both for language and literacy and for mathematics; i.e., = 85) to identify children at risk for learning difficulty. We then performed an ROC curve analysis to determine the probability that the WSHS ratings accurately assigned children to high- and low-risk groups.
RESULTS

Descriptive Statistics

Table 1 displays the descriptive statistics for the standard scores of the TERA-3 and TEMA-3 and the WSHS checklist ratings in language and literacy and in mathematics in the fall and spring. The means of the test scores for the study sample were substantially lower than the national norms provided in the test manuals. The study sample scored more than one standard deviation below the test's norms in the fall and spring on the TEMA-3 and two thirds of a standard deviation below the national norms on the TERA-3 in the fall and spring.

Reliability

Table 2 presents the Cronbach's alphas, which indicate the degree of internal consistency among the items for the two WSHS checklist subscales in the fall and spring. Alphas ranged from .90 to .94, suggesting high internal reliability of the subscales in our sample. The correlations between the fall and spring WSHS scores were high: .71 for language and literacy and .65 for mathematics (see Tables 3 and 4, respectively), suggesting that WSHS ratings are reliable over time.

<table>
<thead>
<tr>
<th>Variable</th>
<th>Fall</th>
<th>Spring</th>
</tr>
</thead>
<tbody>
<tr>
<td>TEMA-3</td>
<td>82.79 (13.42)(^a)</td>
<td>83.77 (14.41)(^b)</td>
</tr>
<tr>
<td>TERA-3</td>
<td>90.27 (11.43)(^c)</td>
<td>90.67 (11.78)(^d)</td>
</tr>
<tr>
<td>WSHS checklist</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mathematics</td>
<td>2.25 (0.51)(^e)</td>
<td>2.74 (0.36)(^f)</td>
</tr>
<tr>
<td>Language and literacy</td>
<td>2.36 (0.50)(^g)</td>
<td>2.78 (0.32)(^h)</td>
</tr>
</tbody>
</table>

Note: Data are mean (SD). WSHS = Work Sampling for Head Start; TEMA-3 = Test of Early Mathematics Ability—Third Edition; TERA-3 = Test of Early Reading Ability—Third Edition.

\(^a\)N = 107. \(^b\)N = 102. \(^c\)N = 101. \(^d\)N = 100. \(^e\)N = 110. \(^f\)N = 105. \(^g\)N = 110. \(^h\)N = 105.

<table>
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<tr>
<th>Checklist</th>
<th>Number of Items</th>
<th>Fall</th>
<th>Spring</th>
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</thead>
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<tr>
<td>Language and literacy</td>
<td>12</td>
<td>.94</td>
<td>.94</td>
</tr>
<tr>
<td>Mathematics</td>
<td>8</td>
<td>.92</td>
<td>.90</td>
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TABLE 3
Correlations Between TERA-3 and WSHS Language and Literacy

<table>
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<th>3</th>
<th>4</th>
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</thead>
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<tr>
<td>1. TERA-3 (fall)</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>2. TERA-3 (spring)</td>
<td>.68***</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>3. WSHS Language and literacy (fall)</td>
<td>.44***</td>
<td>.39***</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>4. WSHS Language and literacy (spring)</td>
<td>.41***</td>
<td>.41***</td>
<td>.71***</td>
<td>—</td>
</tr>
</tbody>
</table>

Note: TERA-3 = Test of Early Reading Ability—Third Edition; WSHS = Work Sampling for Head Start.
***p < .001.

TABLE 4
Correlations Between TEMA-3 and WSHS Mathematics

<table>
<thead>
<tr>
<th>Variable</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. TEMA-3 (fall)</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>2. TEMA-3 (spring)</td>
<td>.77***</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>3. WSHS Mathematics (fall)</td>
<td>.30**</td>
<td>.40**</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>4. WSHS Mathematics (spring)</td>
<td>.35***</td>
<td>.40**</td>
<td>.65***</td>
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</table>

Note: TEMA-3 = Test of Early Mathematics Ability—Third Edition; WSHS = Work Sampling for Head Start.
**p < .01. ***p < .001.

Correlations

Tables 3 and 4 show the correlations between test scores and WSHS checklist ratings in language and literacy and in mathematics, respectively. The results revealed that all of the correlations except those between fall WSHS mathematics and TEMA-3 were within a moderate range (.30–.44). The correlations in mathematics were lower than those in language and literacy. Although the correlations showed substantial variance unaccounted for by WSHS, all relationships were statistically significant. The highest correlations were between the same measure across time. WSHS language and literacy correlations from fall to spring were somewhat higher than comparable correlations for the TERA-3, but the fall–spring TEMA-3 correlations were substantially greater than those for WSHS mathematics over the year.

 Regressions

Hierarchical regression results demonstrated that after controlling for sex, age, race/ethnicity, and SES, the spring or fall WSHS checklist was significantly associated with spring TERA-3 scores. This was true even after controlling for fall TERA-3 scores in the model (see Table 5). The checklist explained a substantial
proportion (approximately one fifth) of the variance in TERA-3 scores after controlling for demographics.

The regression results for mathematics demonstrated a similar pattern. Adjusting for sex, age, race/ethnicity, and SES, the spring or fall WSHS checklist alone was a significant predictor and remained significant when controlling for fall TEMA-3 scores (see Table 6). The spring WSHS checklist explained approximately 16% of the variance in TEMA-3 scores; the fall WSHS checklist explained approximately 20% of the variance, adjusting for demographics.

The analyses that included the indicator of cohort membership showed no difference between the two cohorts of children. Therefore, we dropped this indicator in all regression analyses.

ROC

The ROC curve analysis used data from children who had both test scores and checklist ratings in the spring. Children were considered at risk if their scores were

<table>
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<th>Variable</th>
<th>Model 1</th>
<th>Model 2</th>
<th>Model 3</th>
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<td></td>
<td>Spring ($N = 92$)</td>
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<tr>
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<td>-.068</td>
<td>-.036</td>
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<td>Age (months)</td>
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<td>Race (other)</td>
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<td>.035</td>
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<td>Reduced lunch</td>
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<td>.005</td>
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<tr>
<td>Spring WSHS language and literacy</td>
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<td>.315**</td>
<td>.235**</td>
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<tr>
<td>Fall TERA-3 scores</td>
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<tr>
<td>$R^2$</td>
<td>.057</td>
<td>.257</td>
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<td>$R^2$ change</td>
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<td>.200</td>
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<td></td>
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<td>Age (months)</td>
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<td>-.286**</td>
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<td>SES</td>
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</tr>
<tr>
<td>$R^2$</td>
<td>.059</td>
<td>.250</td>
<td>.546</td>
</tr>
<tr>
<td>$R^2$ change</td>
<td></td>
<td>.191</td>
<td>.296</td>
</tr>
</tbody>
</table>

Note: WSHS = Work Sampling for Head Start; TERA-3 = Test of Early Reading Ability–Third Edition; SES = socioeconomic status.

*p < .05, **p < .01, ***p < .001.
TABLE 6
Regression Results (Standardized Coefficients) for Spring and Fall WSHS Mathematics Predicting TEMA-3

<table>
<thead>
<tr>
<th>Variable</th>
<th>Model 1</th>
<th>Model 2</th>
<th>Model 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Spring (N = 99)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gender (male)</td>
<td>.008</td>
<td>.072</td>
<td>.045</td>
</tr>
<tr>
<td>Age (months)</td>
<td>-.033</td>
<td>-.152</td>
<td>-.070</td>
</tr>
<tr>
<td>Race (Black)</td>
<td>-.147</td>
<td>-.084</td>
<td>-.048</td>
</tr>
<tr>
<td>Race (other)</td>
<td>-.059</td>
<td>-.057</td>
<td>-.044</td>
</tr>
<tr>
<td>SES</td>
<td>-.211*</td>
<td>-.177</td>
<td>-.022</td>
</tr>
<tr>
<td>Spring WSHS mathematics</td>
<td></td>
<td>.428***</td>
<td>.192*</td>
</tr>
<tr>
<td>Fall TEMA-3 scores</td>
<td></td>
<td>.688***</td>
<td></td>
</tr>
<tr>
<td>R^2</td>
<td>.067</td>
<td>.225</td>
<td>.613</td>
</tr>
<tr>
<td>R^2 change</td>
<td></td>
<td>.158</td>
<td>.388</td>
</tr>
</tbody>
</table>

| Fall (N = 100)            |         |         |         |
| Gender (male)             | -.024   | .074    | .048    |
| Age (months)              | .025    | -.160   | -.077   |
| oRace (Black)             | -.180   | -.171   | -.094   |
| Race (other)              | -.066   | -.105   | -.094   |
| SES                       | -.211*  | -.258** | -.068   |
| Fall WSHS mathematics     |         | .487*** | .261**  |
| Fall TEMA-3 scores        |         | .671*** |         |
| R^2                       | .083    | .270    | .642    |
| R^2 change                |         | .187    | .372    |

Note: WSHS = Work Sampling for Head Start; TEMA-3 = Test of Early Mathematics Ability–Third Edition; SES = socioeconomic status.
*p < .05; **p < .01; ***p < .001.

=.85 in reading or mathematics. Using this cutoff, 38% and 63.7% of the children in our sample were at risk in reading and mathematics, respectively.

Figure 1 shows the ROC curve for language and literacy (N = 98). The area under the curve is .73, representing the probability of a child performing poorly or well on both the TERA-3 and the WSHS checklist. Figure 2 shows the ROC curve for mathematics (N = 110). The area under the curve is .74, representing the probability of a child being correctly identified on both the TEMA-3 and the WSHS checklist.

DISCUSSION

This report presents the results of analyses of the reliability and validity of the language development, literacy, and mathematics domains of WSHS with a sample of 3- and 4-year-olds. The findings provide evidence of reliability and validity, sug-
FIGURE 1 Receiver operating characteristic curve for language and literacy. Diagonal segments are produced by ties.

FIGURE 2 Receiver operating characteristic curve for mathematics. Diagonal segments are produced by ties.
sugesting that WSHS can be used with confidence in assessing these domains of children's learning. The results of reliability studies of the WSHS checklist demonstrated excellent internal consistency and high correlations within each domain across time. This shows that WSHS is highly reliable in assessing children's skills in language and literacy and in mathematics.

Most correlations between the WSHS checklist ratings and the test scores were moderate, ranging from .30 to .44. The only exception was the correlation between fall WSHS and the TEMA-3, which was somewhat lower. (It is interesting that a study of the COR that used both the TERA-3 and the TEMA-3 also presented moderate correlations, albeit relationships that were weaker than those shown here for WSHS; Sekino & Fantuzzo, 2005.) Although these correlations provide only moderate support for the validity of WSHS, this study achieved these correlations despite the two conditions noted below that render these results quite conservative and that cannot be overlooked in interpreting the findings from this study.

First, WSHS and the TERA-3 and TEMA-3 measures represent a major difference in method. WSHS is a criterion-referenced performance assessment based on indirect teacher report and teacher judgment; as used in this study, TERA-3 and TEMA-3 were direct, standardized, norm-referenced tests. Differences in results using the two types of assessments are highly probable simply because the assessments measure different though overlapping parameters in different ways. All studies that incorporate significant method variance are left with the question of which assessment is more accurate: the normative test that takes place twice yearly or the performance assessment that collects continuous data across time. This question cannot be answered conclusively because of the incomparability of the measures and their differences in content. Indeed, some shared variance is the best that can be expected, because the two types of assessment do not measure the same things. Nevertheless, psychometricians aim to maximize the overlap between the two indicators of achievement as we have shown here.

Second, the means of the study children on the TERA-3 and TEMA-3 were substantially lower than the means of the normative sample on which the TERA/TEMA instruments were developed, despite the fact that both normative tools used a nationally representative sample in their standardizations. On the TEMA-3, the study sample scored a full standard deviation below the test's norms, and on the TERA-3 this discrepancy was two thirds of a standard deviation. Clearly, the results from the children in the study sample were lower overall than those from the TERA-3 and TEMA-3 normative samples. This finding is largely a reflection of the homogeneity of the study sample as compared with the normative samples, but its impact on the correlations that were obtained between the tests cannot be overlooked. (We do not believe that this finding suggests a "floor" problem with the tests, because both the TERA-3 and the TEMA-3 are normed for children more than 6 months younger than our participants.)
To better understand the inferences that we can draw based on WSHS, we can turn to the results from the hierarchical regression analyses of fall and spring WSHS checklists in predicting children’s performance in the spring. After controlling for demographic variables, WSHS accounted for about 20% of the variance in early reading and mathematics skills. (Analysis of the same outcomes with the COR shows that it accounts for less than 10% of the variance with the TERA-3 and TEMA-3; Sekino & Fantuzzo, 2005.) These findings demonstrate that WSHS adds unique information to the predictive equation about children’s early reading and mathematics achievement and support claims about the predictive aspects of WSHS validity. It should be noted, however, that due to the clustered nature of our data (i.e., children nested within classrooms), our analyses might overestimate the statistical significance of findings.

The ROC curves contribute additional support to the validity argument for WSHS. These data indicate that teachers’ WSHS ratings have substantial accuracy in identifying children at risk for learning difficulties in literacy and mathematics. The area under the curve in the ROC represents the proportion of correct identifications (both true positives and true negatives) between the outcomes (TERA/TEMA) and the predictor (WSHS). Nearly three quarters of all such predictions were accurate despite the method variance and sample differences noted above.

To explore the relationship among these outcomes still further, we examined the individual scores on WSHS and test scores by classroom. The findings uncovered no between-group differences in either the TERA-3 or TEMA-3 scores. However, there were significant differences ($p < .001$) between the Head Start ($n = 11$) and non-Head Start ($n = 5$) teachers on their WSHS ratings, with Head Start teachers indicating that their students performed substantially better than children in the non-Head Start classes. In particular, more than half of Head Start children were rated proficient on all WSHS items by their teachers in the spring, which suggests that Head Start teachers might have overestimated their students’ ability at the end of the school year. The between-group differences suggest that the context in which the assessments were administered, as well as potential differences in teachers’ backgrounds and preparation, may account for some of the discrepancy between the normative measures and the observational assessment. On further examination, it appears that the greatest difference between the two groups may be familiarity with WSHS. The non-Head Start teachers had been using WSHS for 8 to 10 years, whereas the Head Start teachers were all new to WSHS. This difference, along with other factors in the teachers’ and children’s backgrounds and substantial differences in teachers’ professional preparation, specifically regarding WSHS, could account for this within-sample variation. However, all teachers, regardless of program affiliation, viewed their children as performing at a more competent level on WSHS than would be expected from reviewing the TERA-3 and TEMA-3 scores in isolation, and there were no between-group differences in these scores.
In short, within the limitations of this sample (mostly low income, primarily minority, English speaking, not randomly selected) and this group of teachers (wide range of qualifications and experience, working under diverse auspices), this study provides evidence for the psychometric validity of the inferences that can be drawn from WSHS with 3- and 4-year-olds. To improve its accuracy, WSHS should be used as part of a systematic battery of instructional assessments in which its results could likely be strengthened by the addition of such supplementary data sources as, for example, evidence obtained from portfolios of children’s work. In its original form, WSS incorporates data from both checklists and portfolios (Meisels, Jablon, et al., 2001).

As a low-stakes assessment, WSHS is not intended to supplant the normative tests that are used for accountability. However, because of the psychometric limitations of these instruments that have been documented elsewhere, the potential for iatrogenic effects of labeling and stigmatization, and the overall latency of development in the first 5 years of life, it is worth considering the advisability of assigning high stakes to tests of achievement with young children under any circumstances (see Meisels, 2007). This study, and investigations of similar instruments, shows that valuable and accurate information can be obtained from observational instruments that rely on teachers’ judgments of children’s performance. Such assessments focus on how children learn and how teachers can make instructional decisions that optimize development, rather than on how children can be ranked and ordered and how tests can be used to allocate rewards and punishments.

ACKNOWLEDGMENTS

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REFERENCES


APPENDIX

Components and Performance Indicators for Three
Domains of *Work Sampling for Head Start* (Dichtelmiller, Jablon, Meisels, & Marsden, 2001)

I. Language Development
   A. Listening and understanding
      1. Gains meaning by listening
      2. Follows two- or three-step directions
      3. Demonstrates phonological awareness
   B. Speaking and communicating
      1. Speaks clearly enough to be understood without contextual clues
      2. Uses expanded vocabulary and language for a variety of purposes

II. Literacy
   A. Book knowledge and appreciation
      1. Shows appreciation for books and reading
      2. Comprehends and responds to stories read aloud
   B. Print and alphabet awareness
      1. Shows beginning understanding of concepts about print
      2. Begins to develop knowledge about letters
   C. Early writing
      1. Represents ideas and stories through pictures, dictation, and play
      2. Understands purposes for writing
      3. Uses letter-like shapes, symbols, and letters to convey meaning

III. Mathematics
   A. Problem solving
      1. Begins to use simple strategies to solve mathematical problems
   B. Number and operations
      1. Shows beginning understanding of number and quantity
   C. Geometry and spatial sense
      1. Begins to recognize and describe the characteristics of shapes
      2. Shows understanding of and uses several positional words
   D. Patterns
      1. Sorts objects into subgroups that vary by one or two characteristics
      2. Recognizes simple patterns and duplicates them
   E. Measurement
      1. Orders, compares, and describes objects according to size, length, height, and weight.
      2. Participates in measuring activities